

Integrated Quality Indicators and Stochastic Modelling for Real-Time Positioning: Overview and Implementation

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SUMMARY

In the last decade the use of high precision Global Navigational Satellite Systems (GNSS) has increased markedly and the range of user applications has grown well beyond the traditional domain of surveying and engineering. This growth has been facilitated by the establishment of Continuously Operating Reference Station (CORS) networks and a new form of positioning known as Network Real-Time Kinematic (NRTK). However, the growth in the range of user applications has brought with it some interesting challenges for equipment manufacturers, software developers, and providers of NRTK services. One such challenge is ensuring that the quality of positioning consistently satisfies the demands of the customer. Currently there is no reliable, nor readily available, quality indicator that can inform users as to the quality of their positioning. A further shortcoming in NRTK positioning is that the stochastic models employed are generally simplistic and overly optimistic. The consequence is that often the precision of NRTK coordinates is incorrectly estimated, leading to a false sense of user confidence. There is a considerable scope to improve the stochastic model and its ability to accurately reflect the quality of the raw GNSS observables.

The research summarised here is concerned with the development of the Real-Time Quality Control (RTQC) system which aims to inform both users and providers of NRTK services of the quality, dependability, and fitness-for-purpose of their positioning in real time. This paper will present an overview of the system, concentrating on current research into the integration of mobile user's and CORS network data and the development of real-time stochastic models.

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1. INTRODUCTION

The use of real time, high accuracy Global Navigational Satellite Systems (GNSS) has increased markedly in the last decade and the range of user applications has become increasingly diverse. This increase has been made possible by the rapid growth of Continuously Operating Reference Station (CORS) networks and a new form of positioning known as Network Real-Time Kinematic (NRTK), with achievable accuracies of 2-3cm. When utilising NRTK positioning, GNSS errors (such as ionospheric and tropospheric delay) are estimated at CORS sites and interpolated (in real time) for any location within the network (IAG, 2009). The availability of instantaneous, high accuracy positioning has driven the range of GNSS applications well beyond the traditional domain of surveying and engineering into fields such as precision agriculture, asset mapping, construction, mining, and so on.

With an increasing level of high accuracy positioning applications, the number of critical decisions being made on the basis of positions derived from GNSS has also increased. Hence it is of growing importance to know that these derived positions are of high quality, dependable, and fit for purpose. For the purposes of this research quality refers to both the accuracy and precision of a computed position. A position is considered to be of high quality when it is both accurate and precise. GNSS position quality is dependent on two factors: the presence and magnitude of errors (both systematic and random) in the observations from which the position was derived, and the suitability of the functional model relating the observations to the computed position.

The requirement for high quality, dependable positioning has placed an added responsibility on the suppliers of NRTK services to ensure that they can consistently satisfy user requirements. Currently there is no reliable, or readily available, quality indicator that can inform users and providers of NRTK services in real-time of the quality of their positioning. In most cases, the only information available is the quality indicator displayed by the GNSS receiver itself, usually in the form of a graphical error ellipse or a numerical figure comparable to a standard deviation. Such indicators are unreliable as they generally convey a measure of precision (e.g. standard deviation of the positioning solution), rather than a measure of absolute accuracy or quality. In practice, situations arise where the accuracy of the positioning solution is degraded, but the precision is unaffected. In such circumstances internal precision-based indicators fail to identify the problem. Hence, what is needed is an independent quality assessment procedure that will inform users as well CORS network operators of the quality of their positioning.

It is in light of this growing need for an independent quality control system that the Cooperative Research Centre for Spatial Information (CRC-SI) has facilitated a project called Implementation

and Validation of Real-Time Quality Control for CORS Networks and Mobile Users (CRC-SI, 2009). The primary goal of the project is to develop and implement a robust, independent, real-time system that will inform users and CORS operators of the quality, dependability, and fitness-for-purpose of NRTK positioning results. The system, dubbed the Real-Time Quality Control (RTQC) system is unique in that the quality control computations are performed and reported in real-time and the quality control process integrates CORS and mobile data. Although options exist to perform quality control analysis in a post-processed mode, no such options are available in real-time nor are they independent of proprietary algorithms.

Several key factors influenced the development of the RTQC system. One of these factors was that the quality control computations should be based on raw observations rather than derived products such as positions, variance/covariance matrices, residuals, and so on. This approach is flexible, powerful, and independent of manufacturer-specific algorithms and applications. Another key driver behind the RTQC software was the objective to replace the plethora of quality indicators currently available with a single all-encompassing quality indicator. This quality indicator is derived from raw observation data for each satellite/receiver combination and is indicative of the level of noise present in the observations. A single, receiver-based, quality indicator is then derived from a combination of the individual satellite/receiver indicators. For stationary receivers (e.g. CORS receivers) this receiver-based indicator is used to test the quality of positioning data. For mobile users (e.g. NRTK rovers), an *integrated* quality indicator is used, which takes into account the quality of the user's data as well as the quality of the reference stations upon which the user's positioning is based.

The basic design of the RTQC system is shown in Figure 1. The system is composed of three modules: RTQC CORS, RTQC Mobile, and RTQC Premium. Raw observations are streamed from both the CORS network and mobile users into the RTQC Hub where the quality of the observations is assessed using the RTQC CORS and RTQC Mobile modules respectively. Two separate modules are necessary due to the fact that the CORS stations are stationary and significant volumes of historical data exist to aid in the quality assessment process. The same will not generally be true of mobile users, making the quality assessment process somewhat different and more technically challenging.

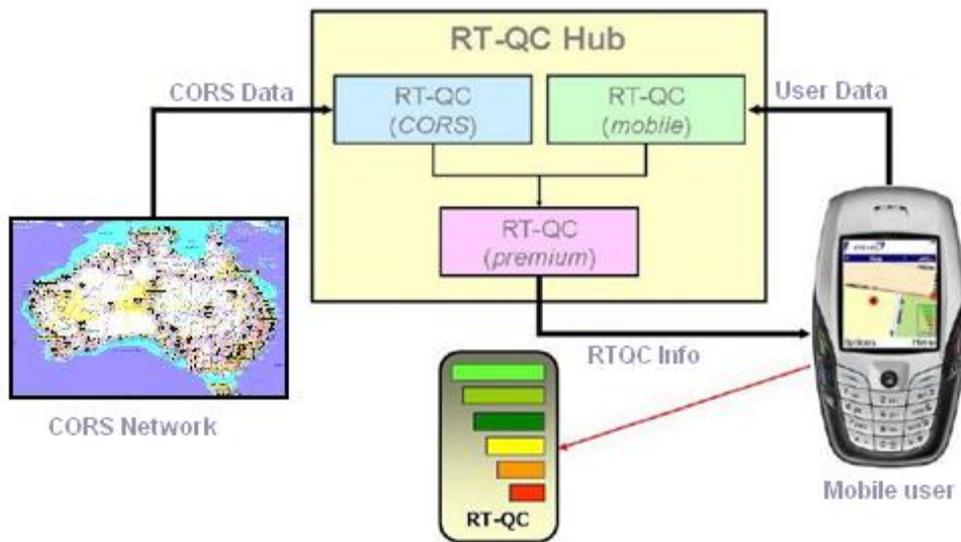


Figure 1: The RTQC System

For a complete overview of the RTQC system see Fuller *et al* (2007). This paper will focus on providing an insight into some of the unique aspects of the RTQC system. Firstly, the computation of quality control indicators that integrate CORS and mobile user quality information (integrated quality indicators) will be examined. Secondly, the real time delivery of quality information, including integrated quality indicators and stochastic models, will be discussed. Finally, the potential use of RTQC quality indicators as the basis of a new real time stochastic model will be explored.

2. INTEGRATED QUALITY INDICATORS

One of the unique aspects of the RTQC system is the integration of CORS and mobile user quality information to provide more realistic and reliable indicators of position quality. To obtain high accuracy real time GNSS positions NRTK users rely upon data from several CORS stations. Thus the mobile user's position quality is intrinsically linked to the quality of the external data. Therefore, when utilising data from external sources, mobile users must be able to assess its quality and determine its fitness for use. At the same time it follows that providers of NRTK services must be able to deliver this information to mobile users in real-time. The challenge faced by the research team was to develop a methodology to integrate the two sets of quality information and deliver it to the mobile user.

The approach taken in the RTQC system to this problem is shown in Figures 1 and 2. Raw observation data from the CORS sites and mobile users is streamed to the RTQC Hub, where quality indicators are calculated satellite-by-satellite for each CORS site and each mobile user (RTQC CORS and RTQC Mobile in Figure 1). These individual indicators are aggregated to provide a single quality indicator for each receiver (CORS and Mobile), at which point they are

submitted to the RTQC Premium module for the computation of an integrated quality indicator (Figure 2).

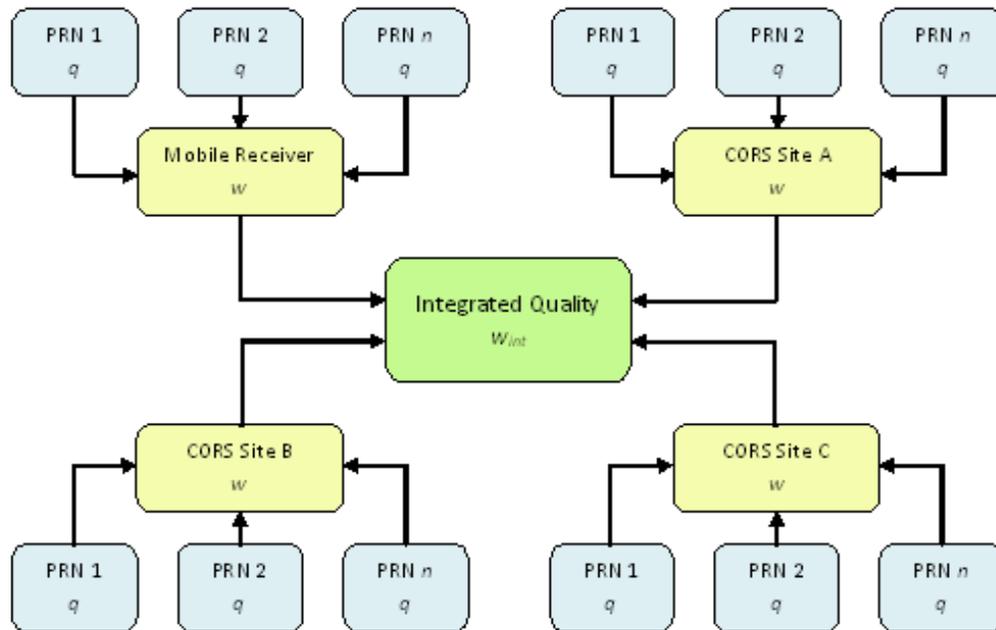


Figure 2: Integrated Quality Indicator Hierarchy

Computation of the integrated quality indicator (within RTQC Premium) begins with the individual satellite-by-satellite quality indicators, denoted by q . Whilst the derivation of q is outside the scope of this paper it is worthwhile stating that q is representative of the level of noise in the raw observation data. A single quality indicator for each receiver is determined from the individual quality indicators as follows:

$$w = \frac{1}{n} \sum_{i=1}^n \frac{(q_i - \bar{q}_i)}{\sigma_{q_i}} \quad (1)$$

Where w is the receiver-based indicator, q_i is the satellite-by-satellite quality indicator for satellite i , \bar{q}_i and σ_{q_i} are the mean and the standard deviation of q_i respectively, and n is the number of satellites.

It can be seen from Equation (1) that the receiver-based indicator is the average of the individual satellite-by-satellite quality indicators. Thus, w is akin to the global test statistic used in least squares analysis to test the overall validity of an adjustment. Here it is used to describe the validity of all the data observed at a single receiver. In fact, both q and w are the subject of epoch-by-epoch statistical testing within the RTQC system to determine if they are significantly

different from previous epochs of data, this testing forms the basis of the RTQC alerting system detailed in Fuller *et al* (2008).

The approach taken to determine w is identical for both CORS and mobile receivers, however the data used to calculate the statistical information (\bar{q}_i and σ_{q_i}) is different. Significant amounts of historical data are collected by the RTQC system for CORS receivers and these data are utilised in the calculation of \bar{q}_i and σ_{q_i} . A detailed discussion on the use of historical data for quality control purposes can be found in Fuller *et al* (2008). In the case of the mobile receiver only the recent history (e.g. the previous fifteen minutes of data) is available for the calculation of \bar{q}_i and σ_{q_i} .

The final step in the computation of the integrated quality indicator (w_{int}) is to combine the receiver-based indicators (w) from the CORS and mobile receivers (Figure 2). The basic logic of network positioning (e.g. NRTK) dictates that CORS sites close to the mobile receiver have the greatest influence on the positioning solution. It follows that similar logic should be applied in the computation of w_{int} , as it is primarily intended for use with network positioning. To reflect this concept the calculation of w_{int} is carried out in two parts:

$$w_{CORS} = \frac{1}{\sum \alpha_i} \sum \alpha_i w_i \quad (2)$$

$$w_{int} = 0.75w_{CORS} + 0.25w_{Mob} \quad (3)$$

$$\alpha_i = \frac{1}{d_i} \quad (4)$$

Where d_i is the distance between the mobile user and the i^{th} CORS site, α_i is an inverse distance dependent weighting factor for the i^{th} CORS site, w_i is the receiver-based quality indicator for the i^{th} CORS site, w_{CORS} is the weighted mean of all CORS quality indicators, and w_{Mob} is the receiver-based quality indicator for the mobile user. Note that, whilst in theory d_i could be zero this is avoided in the practical implementation through appropriate checks.

Equation (2) combines the quality indicator at each CORS site using a distance-dependent weighted mean. Only those CORS sites used to compute the mobile user's position are included in the calculation. If information on which CORS sites have been used in the network solution is not available, the four closest stations are used by default. Distance-dependent weighting was chosen in the first instance as it is a simple, easy to implement, technique that is used in network solutions (Gao *et al*, 1997; Fotopoulus and Cannon, 2001; Dai *et al*, 2004).

w_{Mob} is merged with w_{CORS} to produce the integrated quality indicator (w_{int}). Currently, this integration step (Equation 3) is quite simplistic and the relative weightings of the mobile and

CORS components require further investigation. The initial weightings were chosen to reflect the perception that CORS data is generally of a higher quality than mobile user data. It is anticipated that the larger contribution from w_{CORS} will help to smooth the more volatile mobile user data without diminishing the ability of the integrated quality indicator to detect poor quality data. Figure 3 shows an example integrated quality indicator for a rover receiver and 3 CORS sites. Once w_{int} is computed it needs to be delivered to the mobile user in real-time. The procedure for delivering this information to mobile users in real-time is described in Section 3.

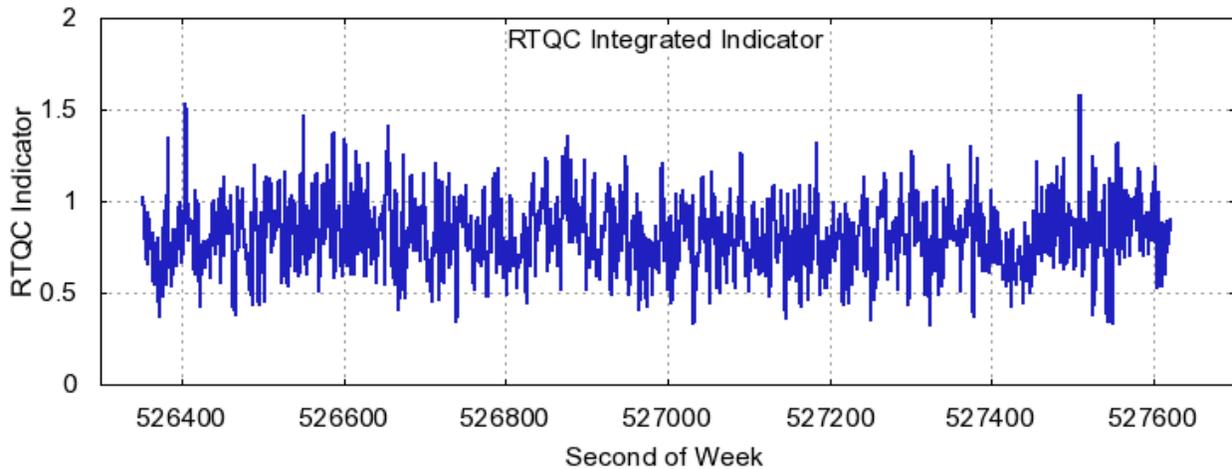


Figure 3: RTQC Quality Indicator

3. DELIVERING INTEGRATED QUALITY INDICATORS IN REAL-TIME

Early in the development of the RTQC system a choice had to be made as to which format to use for all real time communications between users and the RTQC Hub. An incorrect choice of format could potentially limit the information that could be transferred and have a negative impact on the efficiency of the system. The format had to be open (freely available for implementation), supported by all GNSS manufacturers, and contain all the raw data messages needed for RTQC quality computations. It was determined that the most appropriate format was the Radio Technical Commission for Maritime Services (RTCM) Version 3.x. RTCM Version 3.0 was released in 2004 with an emphasis on supporting GNSS RTK operations, which made it an ideal choice to use with RTQC. The latest version of the standard, RTCM Version 3.1, was released in 2006 to incorporate the messages for GNSS Network corrections (RTCM, 2006).

RTCM 3.1 contains a set of standard messages as well as a reserved set of messages for proprietary vendor data. In RTCM 3.1 message identifiers 4001 through 4095 have been reserved for vendor use, and vendors are able to apply for a specific RTCM 3.1 message identifier. A vendor can obtain only one message identifier, but is free to define sub messages within the assigned message. CRC-SI made an application to the RTCM and was granted message identifier 4082 for the purposes of RTQC research.

The purpose of the RTCM 4082 message is to transmit the receiver-based quality indicators (CORS) and the integrated quality indicator for network position solutions. To achieve this several sub messages within the RTCM4082 message have been defined. The use of sub messages provides flexibility to further develop and refine messages as required, as well as the flexibility to introduce new messages in the future. Currently, the following RTCM4082 sub messages have been defined:

Sub Message 0 – Stream ID

The Stream ID sub message is used to provide a text name and type (rover or base) for a data stream. It is intended to be transmitted at a lower frequency (e.g. every 10 seconds) than the quality messages in order to conserve bandwidth.

Table 1: Stream ID Message Structure

Field description	Data size and type
Message number (4082)	12 bit unsigned integer
Sub message number (0)	8 bit unsigned integer
Sub message version (0)	4 bit unsigned integer
Stream type	1 bit, base = 0, rover = 1
Stream identifier	8 bit unsigned integer
Name size	7 bit unsigned integer
Stream name	'Name size' characters (128 maximum)

Sub Message 1 – Receiver-based Quality Indicator

The receiver-based quality indicator sub message is used to provide data quality information for a single data stream. This message is transmitted every second.

Table 2: Receiver-Based Indicator Message Structure

Field description	Data size and type
Message number (4082)	12 bit unsigned integer
Sub message number (1)	8 bit unsigned integer
Sub message version (0)	4 bit unsigned integer
Stream identifier (from sub message 0)	8 bit unsigned integer
GPS second of week, milliseconds	30 bit unsigned integer
Quality indicator	16 bit unsigned integer

Sub Message 2 - Integrated Quality Indicator

This sub message is used to provide integrated quality information for a mobile user based on a combination of receiver-based indicators from CORS sites and the mobile user's quality indicator. The process used to compute the integrated indicator is detailed in Section 2. This message is also transmitted every second.

Table 3: Integrated Quality Message Structure

Field description	Data size and type
Message number (4082)	12 bit unsigned integer
Sub message number (2)	8 bit unsigned integer
Sub message version (0)	4 bit unsigned integer
No. streams used	8 bit unsigned integer
Stream identifier	'No. streams used' x 8 bit unsigned integer
GPS second of week, milliseconds	30 bit unsigned integer
Integrated quality indicator	16 bit unsigned integer

In future the RTCM 4082 message will be expanded to include sub messages for the coefficients for a real-time stochastic model to assist with computing the final coordinates of the mobile receiver. Real-time stochastic model generation is a separate part of the project and will be discussed in the next section of the paper.

4. REAL-TIME STOCHASTIC MODEL GENERATION

In addition to providing mobile users with a measure of positioning quality via the RTCM 4082 message, individual RTQC quality indicators (detailed in Section 2) are also being investigated for their potential application in the development of a real-time stochastic model. GNSS processing is based around the least squares algorithm and as such functional and stochastic models are required. The functional model describes the relationship between the observations and the unknown parameters, whilst the stochastic model describes the noise of the observations. The objective of the stochastic model is to further improve the precision of GNSS results and to provide a more reliable picture of the positioning quality. This is achieved by utilising the correct variance-covariance matrix of the observations in the least squares algorithm. The variances (diagonal components of the variance-covariance matrix) describe the statistical properties of individual observations, while the covariances (off-diagonal elements) describe the correlations between them (Tiberius *et al*, 1999).

The covariance terms in the stochastic model are based on the physical correlations between measurements. The types of correlations that have been identified include spatial correlations (correlations between observations to different satellites for one observation type), temporal or time correlations (correlations between epochs for one satellite and one observation type) and cross-correlations (correlations between different observation types for a single satellite). Barnes

et al (1998) has shown that a fully populated variance-covariance matrix that accounts for spatial correlations significantly improves positioning results. Numerous researchers have concentrated on detecting and modeling temporal correlations (El-Rabbany 1994; Schwieger 2001; Tiberius *et al* 1999; Tiberius and Kenselaar 2003) whilst Bona (2000) investigated cross correlations by means of variance component estimation. Finally, Leandro and Santos (2007) have proposed an empirical approach to building a variance covariance matrix by means of a stochastic analysis of raw observation data.

Another approach which offers a more rigorous solution to the problem of stochastic model generation is a least squares estimation technique known as Minimum Norm Quadratic Unbiased Estimation (MINQUE) developed by Rao (1971) and utilised for static baseline processing by Wang (1998). The basis of this approach is the estimation of every element in the *a priori* variance-covariance matrix from the *a posteriori* observation residuals. Due to the recursive nature of this technique it can be incorporated into a Kalman filter or a sequential least squares adjustment (Kim and Langley, 2001). The problem with MINQUE and similar approaches is that they are computationally intensive and dependent upon the understanding of the functional model, which is generally proprietary. As such, these techniques are difficult to implement and not suited for real-time use.

Despite the research conducted in this area, the stochastic model is not sufficiently understood and as a result simplistic stochastic models are still being used by the GNSS community. Models that are primarily used in practice are the elevation angle dependent model where the variances are assigned on the basis of satellite elevation, (Euler and Goad, 1991; Jin, 1996) and the Carrier-to-Noise (C/N_0) ratio model where the variances are determined based on the C/N_0 values measured directly by most geodetic grade receivers (Langley, 1997; Hartinger and Brunner, 1999). The problem with such models is that only the variances of individual measurements are considered, the covariance terms are largely ignored, leading to overly optimistic estimates of positioning quality.

The RTQC research team is attempting to address the shortcomings of current approaches through the development of a new real-time stochastic model. The basis for the new model is the individual satellite-by-satellite RTQC quality indicator. As noted previously, individual quality indicators represent the level of noise in the observations and as such contain stochastic properties which can be used in the development of a stochastic model. Particular emphasis is placed on generating a fully populated variance-covariance matrix, which is illustrated in Figure 4. It is obvious from Figure 4 that the temporal correlations have a significant impact on the overall size of the variance-covariance matrix. Thus the size of the time correlation window (1, 2, 3, ..., n epochs) emerges as an important consideration because if the size of the matrix becomes too large, it will be difficult to compute in real-time.

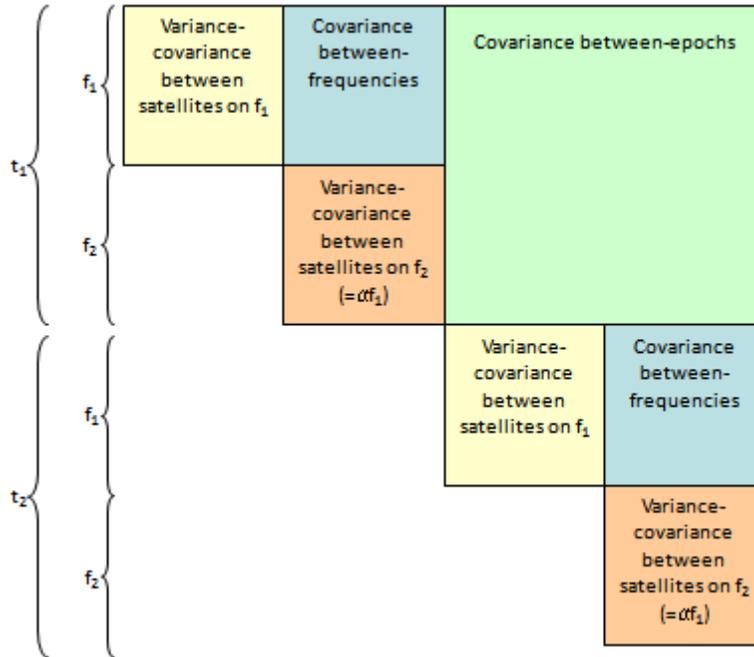


Figure 4: Variance-Covariance Matrix

At this stage only the spatial correlations within a single epoch have been investigated by the research team and the results are presented below. Temporal and cross correlations have not been investigated by the research team thus far. As such, this analysis is concerned with a particular subset of the full variance-covariance matrix (Figure 4), being the variances of the individual satellites (σ_{xx} , σ_{yy} ,) and the covariances between them (σ_{xy}), as shown in Equation (5). To examine the RTQC quality indicators for evidence of spatial correlations and determine their usefulness in computing covariance they were compared to more simplistic stochastic models. A five hour static data set was collected on the roof of the Geomatics building at the University of Melbourne with a recording interval of 1Hz. The receiver used for the experiment was a Leica GRX1200 with a Leica AX1202GG antenna. The collected data was used to generate individual quality indicators for each satellite and to calculate the C/N_0 stochastic model.

$$\begin{bmatrix} \sigma_{xx} & \sigma_{xy} \\ \sigma_{xy} & \sigma_{yy} \end{bmatrix} \quad (5)$$

Where σ_{xx} represents the variance of satellite x for a particular observation type (e.g. L1 phase), σ_{yy} represents the variance of satellite y for the same observation type, and σ_{xy} represents the covariance between satellite x and satellite y for that observation type.

Using the individual quality indicators it is possible to directly compute the variances and covariance for a pair of satellites, which in turn are used to compute the correlation co-efficient (Equation 6) for that satellite pair. The behaviour of the correlation co-efficient (ρ) determines

the presence (or absence) of correlation in the observations for the satellite pair. Values of -1 or 1 indicate perfect correlation whilst no correlation would be indicated by $\rho = 0$.

$$\rho = \frac{\sigma_{xy}}{\sigma_{xx}\sigma_{yy}} \quad (6)$$

Where ρ represents the correlation coefficient between x and y .

Unfortunately, whilst the other stochastic models (elevation, C/N_0) provide a mechanism for computing the variances they ignore the covariance term. This would not be a problem if the objective was simply to compare the variances generated by the different models. However, the objective here is to determine if the quality indicators are spatially correlated and if they are useful in the calculation of covariances, through a comparison with existing stochastic models.

This problem was resolved by examining the correlation between the observation noise (quantified by the variance terms σ_{xx} and σ_{yy} in Equation 6 – available from all models), rather than the correlation between the observations themselves (only available in the RTQC model). This assumes that any correlation in the observation noise reflects correlation in the observations. The basis for this assumption is the knowledge that GNSS signals travelling along similar paths to the receiver experience similar effects with respect to systematic errors and noise. Thus, the observation noise for one satellite will behave in a similar fashion to that of a nearby satellite. When stated in this fashion the assumption seems counter-intuitive (how can one set of random noise behave similarly to another) but it is in fact the basis of many of the stochastic models used in practice, for example the elevation and C/N_0 models.

Having established that examining the correlation between the observation noise of each satellite pair would provide an acceptable means to determine if there was correlation between the observations themselves the appropriate covariance and correlation co-efficient calculations were performed. The results of this analysis are shown in Figure 5 for four different pairs of satellites.

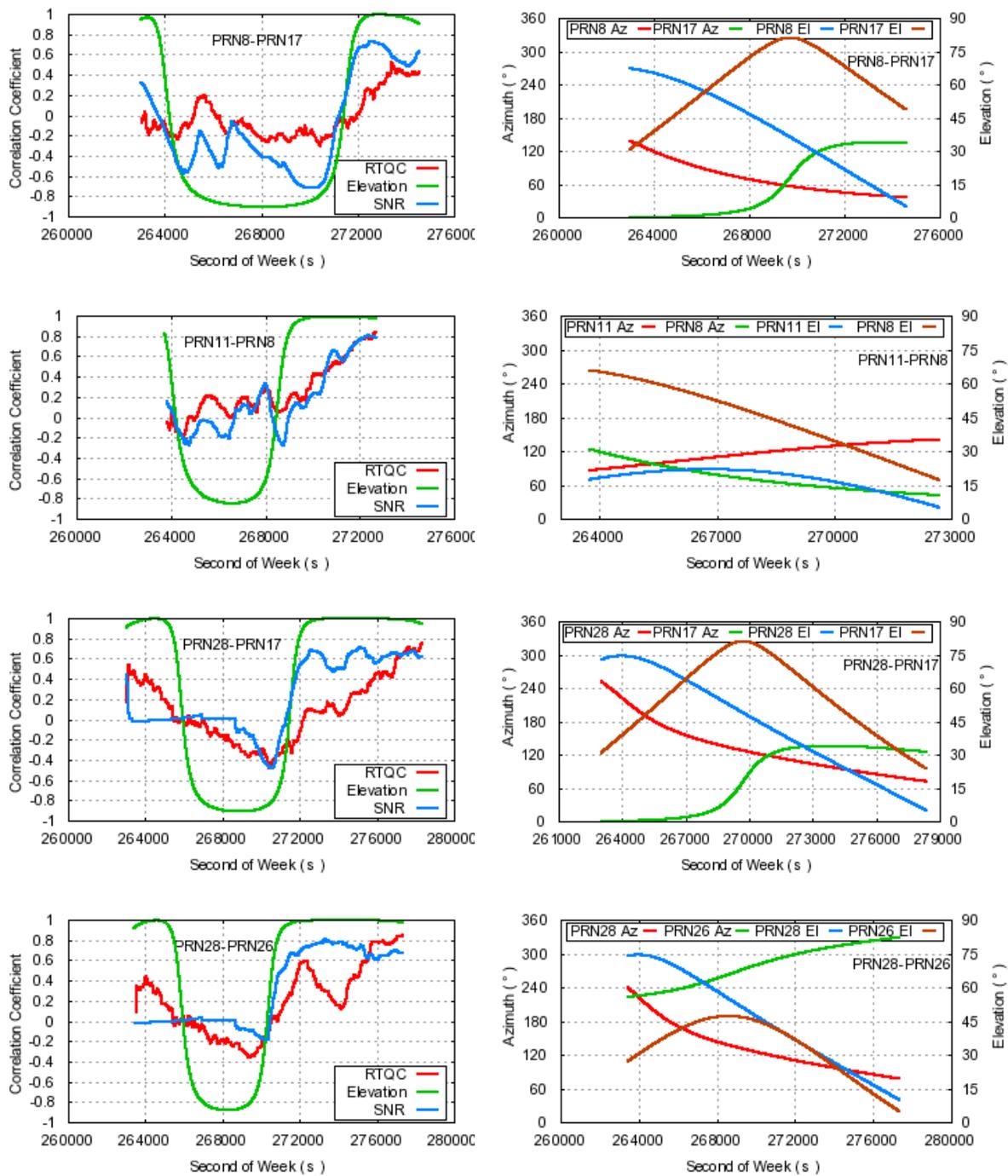


Figure 5: Correlation Coefficients with Azimuths and Elevations

It is immediately apparent from Figure 5 that, according to the elevation dependent stochastic model, there is significant correlation between the observation noise (and by association the observations themselves) for each pair of satellites. However, the results are misleading, as they show strong correlation ($\rho \approx -1.0$ or 1.0) in instances where the satellites are clearly in disparate regions of the sky (e.g. PRN 28 and PRN 26, PRN 8 and PRN 17). Whilst misleading this result is not unexpected, as the elevation dependent model relies solely on satellite elevation when calculating variances and therefore ignores any azimuthal effects. Furthermore, the simplistic modeling function utilised in the elevation dependent model produces variances that change smoothly over time, hence satellites that may not have same elevation, but are descending or ascending at similar rates will also show strong correlations. Overall the results from the elevation dependent model serve to highlight the need for a more realistic stochastic model.

The results from the C/N_0 and RTQC models are more in line with expectations of how the correlation coefficients would behave, tending to reflect a lack of correlation ($-0.5 \leq \rho \leq 0.5$) when the satellites are in disparate regions of the sky. However, the influence of the satellite elevations, as opposed to azimuthal effects, is apparent in both models. Each satellite pair recorded an increase in correlation as the satellites descended, irrespective of azimuth (Figure 5), although the impact of this trend on the correlation coefficient depends on the respective elevations of the satellites (e.g. SV8 and SV17 show an increase, but the correlation remains small). The RTQC model appears more resilient to the effects of the elevation than the C/N_0 model as in all satellite pairs other than SV8 and SV11 the RTQC correlations rose slower and were of a smaller magnitude than those of the C/N_0 model (the exception being a short period at the end of SV28 and SV26). In this respect the RTQC model appears to provide a better representation of how we would expect the correlation to behave in reality.

The correlations present in the observation noise when using the RTQC model (Figure 5) indicate that there will be correlation present in the individual quality indicators from which the model was generated. Given that there is correlation between the quality indicators it follows that they will be useful in the determination of covariance terms in a stochastic model. However, a number of issues are raised in this analysis that will require further investigation, firstly the effect of lower elevations on the RTQC correlations, for example the increase in the correlation seen in the SV8 and SV11 pair, an increase that appears to be driven by the low elevations of the satellites rather than their azimuths (it is also the only instance where the RTQC fails to outperform the C/N_0 model). Secondly, the sharp rise and fall of the correlation coefficient in the SV26 and SV28 pair around epoch 272000 remains unexplained.

Ultimately, the stochastic model generated from RTQC will be implemented and tested within a Reverse RTK (RRTK) algorithm under development as part of CRC-SI Project 1.04 - Delivering Precise Positioning Services to Regional Areas. It is envisaged that the testing will be carried out in two stages, the initial stage will involve real-time network positioning using the RTQC stochastic model and the results (coordinates, precisions, quality indicators) compared to results obtained using current models. Secondly, the ability of the RTQC stochastic model to aid in ambiguity resolution will be examined, by comparing the performance of standard ambiguity resolution algorithms with the RTQC model and with existing models.

5. CONCLUSION

The RTQC system was designed to quality control positioning results for CORS and mobile receivers in NRTK environments. An overview of the RTQC research with some current developments has been presented in this paper. Firstly an outline of the system was presented, followed by an explanation of how the RTQC system currently combines CORS and mobile user data to provide an integrated quality indicator and deliver this quality information to mobile users in real-time via the RTCM 4082 message. Finally the potential for using RTQC quality indicators in the development of a real-time stochastic model was explored.

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